

Q. 12 Please provide a complete accounting of all grant lapses including a detailed statement as to why the lapse occurred and any corrective action taken by DBH.

Please provide accounting of any grant carryover from FY19 to FY20 to FY 20 to FY21 to FY 22 and a detailed explanation as to why it occurred.

Department of Behavioral Health, FY 21 - FY 22 Grant Lapse Report								
Grant Name	Grant Number	Grant Phase	Grant Begin Date	Grant End Date	Total Grant Award Amount	Total Obligations	Grant Lapse	Comments
PATH Grant	11MHPH	21	9/30/2020	9/29/2021	\$319,176.00	\$215,848.28	\$103,327.72	Grant Lapse – Underspending in Professional Services contributed most to the total amount on this grant lapse. COVID-19 pandemic has impacted spending in all categories including staff retention and there were staff vacancies that were unfilled at the end of the fiscal year. Less than anticipated spending in Contractual for procured hygiene kits also reflects continued challenges with outreach.
Our Time: Exploration	01EXPL	20	3/31/2020	3/30/2021	\$845,431.25	\$374,685.60	\$470,745.65	Grant Lapse – Due to less than anticipated spending in Contractual and Professional Services. Due to COVID-19 pandemic, there was a delay in awarding and implementing two contracts. There was a resignation from staff prior to the end of the FY and the position was not filled immediately. A meeting was held with grant staff, Office of Contracts and Procurement and SAMHSA to strategize for this upcoming funding year to avoid delays experienced in previous funding years. Carryover request for lapsed funds was requested from SAMHSA to support subgrants for two specialty community providers to further support Transitional Age Youth.
District of Columbia Opioid Response	91DCOR	19	9/30/2020	9/29/2021	\$15,685,682.00	\$9,955,615.24	\$5,730,066.76	Grant Lapse - Due to SAMSA enforcing funding restrictions limiting DBH's ability to re-allocate unspent funds in Contractual and Sub Grants. Inter-agency partners underspent approx.. \$2.5M on MOUs and were unable to reconcile unspent funds in a timely manner resulting in DBH not being able to re-allocate funds. Additionally, there were fewer in-person engagement activities and events due to COVID-19 pandemic. Grant expired.

District of Columbia Opioid Response	11SORO	21	9/30/2020	9/29/2021	\$23,821,155.00	\$15,651,468.22	\$8,169,686.78	Grant Lapse – Due to underspending in Contractual and Sub Grants. As a result of COVID-19 pandemic, there were delays in implementing a SUD treatment unit in the jail, as well as fewer in-person engagement activities and events. There was an overlap between SOR 2 activities and SOR 1 no-cost extension activities resulting in spending of SOR 1 funds before SOR 2 funds. As a result of inter-agency partners underspending on MOUs under SOR 1, MOUs with SOR 2 funds are cost-reimbursable to have more control over unspent funds and opportunity to re-allocate funds as the year progresses. Carryover of lapsed funds was requested from SAMHSA. If approved, funding will be used in FY22 to enhance the work that was delayed or not implemented in FY21.
DC-CITY: Changing and Improving Treatment for our Youth	01CITY	20	9/30/2020	9/29/2021	\$728,619.00	\$355,110.48	\$373,508.52	Grant Lapse – Due to underspending in Professional Services due to turnover of one position. There was less than anticipated spending in Sub Grants due to a delay in issuing three awards. Additionally, lapsed funds include FY20 carryover funding that was approved late in the fiscal year and had denied a number of activities in light of the time remaining in the fiscal year. Carryover of lapsed funding was requested from SAMHSA, to support non-billable activities of the ASTEP providers, trainings in evidence based interventions, and social marketing strategies to promote substance use treatment.
Social, Emotional and Early Development	91SEED	19	9/30/2020	9/29/2021	\$432,237.89	\$395,740.86	\$36,497.03	Grant Lapse - As a result clinician resignations towards the end of the grant there was underspending of Sub Grants.
Mental Health Block Grant	02MHBG	20	10/1/2020	9/30/2021	\$1,236,066.00	\$1,094,143.73	\$141,922.27	Grant Lapse - As a result of the COVID-19 pandemic, there was underspending in supplemental grant funds because technical assistance and training could not be prioritized. The pandemic required an emergency response for behavioral health treatments and support services. Supplemental grant fund criteria did not allow these funds to be spent on services, only training and/or technical assistance.

Substance Abuse Prevention	02APBG	20	10/1/2020	9/30/2021	\$2,184,172.72	\$2,026,743.65	\$157,429.07	Grant Lapse - As a result of the COVID-19 pandemic, there was underspending in supplemental grant funds because technical assistance and training could not be prioritized. The pandemic required an emergency response for behavioral health treatments and support services. Supplemental grant fund criteria did not allow these funds to be spent on services, only training and/or technical assistance.	
TOTAL					\$45,252,539.86	\$30,069,356.06	\$15,183,183.80		