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January 31, 2014

Chairman Phil Mendelson
Council of the District of Columbia
1350 Pennsylvania Avenue, N.W.
Washington, D.C. 20004

Dear Chairman Mendelson:

In preparation for the District of Columbia Retirement Board's upcoming performance hearing, DCRB has prepared the attached responses to the questions submitted by your office.

We look forward to testifying at the hearing and answering any questions you may have regarding DCRB's performance.

If you have any further questions regarding the attached information, please contact Director of Policy, Program Development & Evaluation, Daniel Hernandez, at (202) 343-3225 or daniel.hernandez@dc.gov.

Sincerely,

Eric Stanchfield
Executive Director
District of Columbia Retirement Board

Jeffrey Barnette • Lyle M. Blanchard • Barbara Davis Blum • Diana K. Bulger • Mary A. Collins • Gary W. Hankins
Darrick O. Ross • Nathan A. Saunders • Edward C. Smith • Thomas N. Tippet • Michael J. Warren

Joseph M. Bress
Chairman

Eric O. Stanchfield
Executive Director

1. Please provide, as an attachment to your answers, a current organizational chart for your agency with the number of vacant and filled FTEs marked in each box. Include the names of all senior personnel, if applicable. Also include the effective date on the chart.

Please see the attached chart for details regarding DCRB's organizational structure.

2. Please provide, as an attachment, a Schedule A for your agency which identifies all employees by title/position, current salary, fringe benefits, and program office as of January 13, 2014. The Schedule A should also indicate any vacant positions in the agency. Please do not include social security numbers.

Please see the attached Schedule A for details regarding DCRB's employees.

3. Please list all employees detailed to or from your agency, if any. For each employee identified, please provide the name of the agency the employee is detailed to or from, the reason for the detail, the date of the detail, and the employee's projected date of retirement.

There are no employees detailed to or from DCRB.

4. (a) For fiscal year 2013, please list each employee whose salary was \$110,000 or more. For each employee listed provide the name, position title, salary, and amount of any overtime and/or bonus pay.

Last Name	First Name	Title	Salary	Overtime / Bonus
Morgan-Johnson	Sheila	Chief Investment Officer and Operating Officer	\$222,093	\$0
Stanchfield	Eric	Executive Director	\$222,093	\$0
Sampson	Erie	General Counsel/FOIA Officer	\$188,451	\$0
Anderson	Thomas R.	Chief Financial Officer	\$183,701	\$0
Passerino	Joan	Chief Benefits Officer	\$170,684	\$0
King	Leslie Ann	Senior Counsel	\$155,000	\$0
Dewar	Peter	Director of Information Technology	\$152,440	\$0
Hernandez	Daniel	Director of Policy, Program Development and Evaluation	\$147,805	\$0
Koch	Corinne	Deputy Chief Benefits Officer	\$147,805	\$0
Valentine	Vernon	Human Resources Director	\$131,969	\$0
Sahm	Patrick	Senior Investment Analyst	\$123,600	\$0
Hooten	Kande	Retirement Services Manager	\$116,000	\$0
Burnett	Michaela	Applications Development Manager	\$115,000	\$0

- (b) For fiscal year 2014, please list each employee whose salary is or was \$110,000 or more. For each employee listed provide the name, position title, salary, and amount of any overtime and/or bonus pay as of the date of your response.

Last Name	First Name	Title	Salary	Overtime / Bonus
Morgan-Johnson	Sheila	Chief Investment Officer and Operating Officer	\$227,888	\$0
Stanchfield	Eric	Executive Director	\$222,093	\$0
Sampson	Erie	General Counsel/FOIA Officer	\$198,919	\$0
Anderson	Thomas R.	Chief Financial Officer	\$189,212	\$0
Bond	Johnetta	Chief Benefits Officer	\$178,000	\$0
King	Leslie Ann	Senior Counsel	\$155,000	\$0
Dewar	Peter	Director of Information Technology	\$157,013	\$0
Hernandez	Daniel	Director of Policy, Program Development and Evaluation	\$152,239	\$0
Koch	Corinne	Deputy Chief Benefits Officer	\$152,239	\$0
Valentine	Vernon	Human Resources Director	\$133,921	\$0
Sahm	Patrick	Senior Investment Analyst	\$127,308	\$0
Hooten	Kande	Quality Compliance and Projects Manager	\$116,000	\$0
Burnett	Michaela	Applications Development Manager	\$115,000	\$0

5. Please list, in descending order, the top 25 overtime earners in your agency for fiscal year 2013. For each, state the employee's name, position or title, salary, and aggregate overtime pay.

Last Name	First Name	Title	Salary	Overtime
Ashford	Pamela	Lead Retirement Analyst	\$ 68,280	\$ 7,088
Thomas	Lovely S	Retirement Analyst	\$ 65,469	\$ 1,709
Chandler	Valerie D	Business Analyst	\$ 72,795	\$ 1,410
Somerville	Michelle H.	Retirement Analyst	\$ 56,000	\$ 1,319
Wortham	Richard	Retirement Analyst	\$ 69,741	\$ 863
Morgan	Dennis W	Lead Member Services Representative	\$ 43,550	\$ 692
Graham	Sharon Diane	Retirement Specialist	\$ 55,620	\$ 611
Douglas	Shelia E	Member Services Supervisor	\$ 71,345	\$ 412
Carson	Rabinai Essense	Human Resources Compliance Specialist	\$ 63,000	\$ 267
Harris	Johniece	Retirement Specialist	\$ 48,630	\$ 240
Killett-Burns	Aretha	Records Clerk	\$ 33,781	\$ 171
Bridgers	Nina	Receptionist/Administrative Aide	\$ 37,125	\$ 54

6. For fiscal years 2012, 2013, and 2014 (to date), please provide a list of employee bonuses or special award pay granted that identifies the employee receiving the bonus or special pay, the amount received, and the reason for the bonus or special pay.

DCRB did not grant bonuses or special award pay during this period.

7. For fiscal years 2012, 2013, and 2014 (to date), please state the total number of employees receiving worker's compensation payments.

DCRB did not have any employees receiving worker's compensation payments during this period.

8. For fiscal years 2013 and 2014 (to date), please list, in chronological order, all intra- District transfers to or from the agency.

DCRB did not have intra-district transfers during this period.

9. Please list, in chronological order, every reprogramming of funds into and out of the agency for fiscal years 2013 and 2014 (to date). Include a "bottom line" that explains the revised final budget for your agency. For each reprogramming, list the reprogramming number, the date, the amount, and the rationale.

DCRB did not reprogram funds into or out of the agency during this period.

10. For fiscal years 2013 and 2014 (to date), please identify any special purpose revenue funds maintained by, used by, or available for use by your agency. For each fund identified, provide: (1) the revenue source name and code; (2) the source of funding; (3) a description of the program that generates the funds; (4) the amount of funds generated annually by each source or program; and (5) expenditures of funds, including the purpose of each expenditure.

The District of Columbia Teachers' and Police Officers and Firefighters' Retirement Funds (Fund) do not receive "dedicated taxes," and are not considered "special purpose revenue" funds based on the definition of fund types under District law which mirror the Governmental Accounting Standards Board's Statement 34 (GASB 34). The funds DCRB manages are considered fiduciary trust funds as defined in GASB 34 paragraph 70.

However, the District of Columbia Office of the Chief Financial Officer (OCFO) records DCRB's administrative budget under "special purpose revenue." DCRB's administrative budget is approved by its Board of Trustees and derived from the District of Columbia Teachers' and Police Officers and Firefighters' Retirement Fund. The amount the Fund generates annually depends on the employer contributions, employee contributions, investment earnings. In addition, DCRB receives payments from the U.S. Department of the Treasury for administering benefits for the frozen federal teachers, police officers and firefighters' plans. Expenditures of the Fund are comprised of benefit payments, refunds for employee contributions), and administrative expenses.

11. Please list all memoranda of understanding (MOUs) entered into by your agency during fiscal years 2012, 2013, and 2014 (to date). For each, describe its purpose, indicate the date entered, and provide the actual or anticipated termination date.

MOU	Purpose	Date Entered	Termination Date
<u>Fiscal Year 2012:</u>			
DCHR Center for Workforce Development	Employee training	10/1/2011	9/30/2012
Metropolitan Police Department	Employee background checks	4/1/2012	3/30/2013
Office of the Chief Technology Officer (OCTO):			
Data Reclamation Project (Phase I)	Validate and correct service and fiscal history for Plan members	7/23/2012	9/30/2012
Server Operations	FileNet server replication	10/1/2011	9/30/2012
Web Maintenance	DCRB.gov web services	10/1/2011	9/30/2012
Phone Factors & VPN Services	VPN access for essential staff	10/1/2011	9/30/2012
Data Circuit	WAN connectivity	10/1/2011	9/30/2012
Wireless Services	Wi-Fi network	10/1/2011	9/30/2012
WebEx	Voice, desktop and video conferencing	10/1/2011	9/30/2012
SSL Certificate	Secure certificates for web sites	10/1/2011	9/30/2012
Retirement Modernization (DCHR, OCTO, OCFO)	Modernize the retirement program information systems	7/23/2012	7/23/2017
U.S. Department of the Treasury	Reimbursement to DCRB for administrative services related to Plan members receiving federal funds	10/1/2011	9/30/2012
U.S. Department of the Treasury	Interconnection security agreement	10/1/2011	9/30/2012
<u>Fiscal Year 2013:</u>			
Department of Human Resources	Reimbursement for data reclamation services provided by agency Human Resource Specialists	10/2/2012	9/30/2013
Metropolitan Police Department	Employee background checks	2/1/2013	9/30/2013
Metropolitan Police Department	Reimbursement for data reclamation services provided by agency Human Resource Specialists	7/1/2013	9/30/2013
Office of the Chief Technology Officer (OCTO):			
Data Reclamation Project (Phase II)	Validate and correct service and fiscal history for Plan members	10/1/2012	9/30/2013
Server Operations	Mobile Management Systems Servers	10/1/2012	9/30/2013
Web Maintenance	DCRB.gov web services	10/1/2012	9/30/2013
Phone Factors & VPN Services	VPN access for essential staff	10/1/2012	9/30/2013
Data Circuit	WAN connectivity	10/1/2012	9/30/2013
WebEx	Voice, desktop and video conferencing	10/1/2012	9/30/2013
SSL Certificate	Secure certificates for websites	10/1/2012	9/30/2013
U.S. Department of the Treasury	Reimbursement to DCRB for administrative services related to Plan members receiving federal funds	10/1/2012	9/30/2013
U.S. Department of the Treasury	Interconnection security agreement	10/1/2012	9/30/2013
<u>Fiscal Year 2014:</u>			
Metropolitan Police Department	Reimbursement for data reclamation services provided by agency Human Resource Specialists	10/1/2013	9/30/2014
Office of the Chief Technology Officer (OCTO):			
Data Reclamation Project (Phase III)	Validate and correct service and fiscal history for Plan members	7/23/2012	9/30/2013
Server Operations	Mobile Management Systems Servers	10/1/2013	9/30/2014
Web Maintenance	DCRB.gov web services	10/1/2013	9/30/2014
Pinsafe & VPN Services	VPN access for essential staff	10/1/2013	9/30/2014
Data Circuit	WAN connectivity	10/1/2013	9/30/2014
WebEx	Voice, desktop and video conferencing	10/1/2013	9/30/2014
SSL Certificate	Secure certificates for web sites	10/1/2013	9/30/2014
U.S. Department of the Treasury	Reimbursement to DCRB for administrative services related to Plan members receiving federal funds	10/1/2013	9/30/2014
U.S. Department of the Treasury	Interconnection security agreement	10/1/2013	9/30/2014

12. D.C. Law requires the Mayor and the Chief Financial Officer to submit to the Council, simultaneously with a proposed budget submission, actual copies or all agency budget enhancements requests, including the "Form B" for all District agencies (See D.C. Code § 47-318.05a). In order to help the Committee understand agency needs, and the cost of those needs for your agency please provide as an attachment to your answers all budget enhancement requests submitted by your agency to the Mayor or Chief Financial Officer as part of the budget process for fiscal years 2012, 2013, and 2014.

DCRB did not submit budget enhancement requests during this period.

13. Please list each grant or sub-grant received by your agency in fiscal years 2013 and 2014 (to date). List the date, amount, purpose of the grant or sub-grant received, and explain how the grant is allocated if it is a multi-year grant.

DCRB did not receive grants or sub-grants during this period.

14. Please list all currently open capital projects for your agency as of January 13, 2014, including those projects that are managed or overseen by another agency or entity. Include a brief description of each, the total estimated cost, expenditures to date, the start and completion dates, and the current status of the project. Also, indicate which projects are experiencing delays and which require additional funding.

DCRB does not have any projects for which capital funds are available.

15. Please list all pending lawsuits that name your agency as a party. Please identify which cases on the list are lawsuits that potentially expose the city to significant liability in terms of money and/or change in practices. The Committee is not asking for your judgment as to the city's liability; rather, we are asking about the extent of the claim. For those claims identified, please include an explanation about the issues for each case.

Cheryl Rivera v United States Dept. of the Treasury and District of Columbia Retirement Board (Case No. 13-5222 (BJR)):

This appellate litigation involves a posthumous qualified domestic relations order under the D.C. Spouse Equity Act (D.C. Code 1-529.01 et seq.). DCRB and Treasury rejected a posthumous order under District law thereby denying a former spouse a surviving spouse annuity under the Police Officers and Firefighters' Retirement Plan. The US District Court agreed with DCRB's and U.S. Treasury's decisions and the former spouse appealed to the US District Court of Appeals. Local case law on this subject is non-existent. An opinion has not been formed on whether an unfavorable outcome is either "probable" or "remote," but an unfavorable decision could result in changed practices in the retirement processing of posthumous qualified domestic relations orders. Any potential liability would be congruent with the surviving spouse annuity.

In Re: Tribune Company Fraudulent Conveyance Litigation, Case No. 11 MD 2296(RJS/Case No. 12 MD 2296(RJS))

This is a consolidated securities litigation matter filed by creditors in the bankruptcy of The Tribune Company ("Tribune") against beneficial owners of Tribune stock (who are the defendants in this case including DCRB). The claim is based on an allegation of a fraudulent transfer in the amount of payments received by those owners in connection with the 2007 leveraged buyout of Tribune. The complaint alleged that Tribune filed for bankruptcy as a direct consequence of the transaction and that the shareholder payments constituted a fraud on Tribune's creditors and should be repaid. An opinion has not been formed on whether an unfavorable outcome is either "probable" or "remote," so there is no comment on whether there is a significant financial liability. This case will not result in changed practices.

California State Teachers Retirement System v. Vivendi, S.A.No. 2012029636 Paris, France Commercial Tribunal

This is a securities litigation matter against Vivendi and its former CEO that was filed by over 100 institutions (including public pension funds, mutual funds, individual shareholders, financial institutions) representing claims of over €1 billion. This case does not expose the city to significant liability in terms of money nor a change in practices.

16. (a) Please list and describe any investigations, studies, audits, or reports on your agency or any employee of your agency that were completed at any time since October 1, 2011.

<u>Name:</u>	<u>Purpose:</u>	<u>Completed:</u>
Plan Experience Investigation for the Four-Year Period Ending September 30, 2010	The purpose of the investigation was to assess the reasonability of the actuarial assumptions for the System.	11/2011
Actuarial Valuation as of October 1, 2011, for Fiscal Year 2013 Contributions	To fulfill the requirements of DC Code§ 1-907.02(a) and (c), 1-907.03 (a), and 1-907.04.	12/2011
Audited Financial Statements For Fiscal Year 2011	To determine the effectiveness of existing internal financial controls, operational policy and procedures that focuses on the procuring of goods and services, payroll and personnel position controls.	01/2012
Actuarial Valuation as of October 1, 2012, for Fiscal Year 2014 Contributions	To fulfill the requirements of DC Code§ 1-907.02(a) and (c), 1-907.03 (a), and 1-907.04.	12/2012
Audited Financial Statements For Fiscal Year 2012	To determine the effectiveness of existing internal financial controls, operational policy and procedures that focuses on the procuring of goods and services, payroll and personnel position controls.	01/2013
Reconciliation of Benefit Payments	To compare the estimated District benefit payments received by the U.S. Treasury for the District's portion of annuitant benefit payments made between October 1, 1997 and December 31, 2007 to the amount of total District benefit payments actually disbursed by the U.S. Treasury for the same period.	04/2013
Actuarial Valuation as of October 1, 2013, for Fiscal Year 2015 Contributions	To fulfill the requirements of DC Code§ 1-907.02(a) and (c), 1-907.03 (a), and 1-907.04.	12/2013
Audited Financial Statements For Fiscal Year 2013	To determine the effectiveness of existing internal financial controls, operational policy and procedures that focuses on the procuring of goods and services, payroll and personnel position controls.	01/2014

- (b) Please list and describe any ongoing investigations, audits, or reports of your agency or any employee of your agency.

There are no investigations, audits or reports of DCRB or any of its employees.

17. Please list, in chronological order, all employee grievances filed against your agency in fiscal years 2013 and 2014 (to date). Also, list any earlier grievance that is still pending in any judicial forum. For each, give a brief description of the matter as well as the current status.

No employee grievances were filed against DCRB during this period, and no grievances are pending in a judicial forum.

18. In table format, please list the following for fiscal years 2012, 2013, and 2014 (to date) regarding the agency's use of Smart Pay (credit) cards for agency purchases: (1) individuals (by name and title/position) authorized to use the cards; (2) purchase limits (per person, per day, etc.); and (3) total spent (by person and for the agency).

DCRB has two SmartPay (credit) cards issued in the name of the agency. The Executive Director has delegated to the Chief Financial Officer (CFO) the authority to approve and use DCRB's credit cards for acquisitions not exceeding \$5,000. Staff members who also have the authority to use DCRB's credit cards for acquisitions not exceeding \$2,500 which either the Executive Director or the CFO have authorized in advance in writing are: Contract Specialist; Financial Specialist; Investment Assistant; Administrative Assistant; Office Coordinator, and; the Executive Assistant/Office Manager. A summary of expenses for DCRB's SmartPay (credit) cards is below:

<u>Employee/Title</u>	<u>Fiscal Year Expense</u>		
	<u>2012</u>	<u>2013</u>	<u>2014 (to date)</u>
Procurement Card			
Rabinai Carson/Administrative Assistant	\$ 44,600	\$ 43,474	\$ -
Robin Chester/Administrative Assistant	-	-	3,164
Denice McSears/Office Coordinator	2,562	7,558	-
Bonnie Rivers/Financial Specialist	12,360	64,584	12,813
Yolanda Smith/Contract Specialist	4,130	8,679	5,049
Procurement Card Total	<u>63,652</u>	<u>124,296</u>	<u>21,026</u>
Travel Card			
Rabinai Carson/Administrative Assistant	32,013	35,399	2,690
Robin Chester/Administrative Assistant	-	-	-
Florence Jones/Investment Assistant	-	7,697	2,534
Travel Card Total	<u>32,013</u>	<u>43,096</u>	<u>5,224</u>
Total	<u>\$ 95,665</u>	<u>\$ 167,392</u>	<u>\$ 26,250</u>

19. (a) In table format, please provide the following information for fiscal years 2012, 2013, and 2014 (to date), regarding your agency's use of cellular phones and mobile devices: (1) individuals (by name and title/position) authorized to carry and use such devices; (2) total annual expense (FY) for each individual's use; and (3) justification for such use (per person). If the list is more than one page in length, you may provide it as an attachment.

Last Name	First Name	Position Title	Fiscal Year Expense		
			2012	2013	2014 (to date)
Cellular Telephone: issued to maintain communication with the office and service providers.					
Morgan-Johnson	Sheila	Chief Investment Officer and Chief Operations Officer	\$ 1,050	\$ 970	\$ 232
Mobile Device: issued to staff and Trustees to reduce the use of paper, for secure remote productivity and, in the event of a disaster, to continue agency business operations.					
Anderson	Thomas	Chief Financial Officer	430	480	200
Blanchard	Lyle	Trustee	301	480	200
Bond	Johnetta	Chief Benefits Officer	-	-	200
Bress	Joseph	Trustee	430	480	200
Bryant	Donnie	Senior Procurement Specialist	387	-	-
Bulger	Diana	Trustee	301	480	200
Bunn	James	Trustee	301	480	-
Burnett	Michaela	Applications Development Manager	215	480	200
Carson	Rabinai	Human Resources Specialist	-	-	200
Chandler	Valerie	Business Analyst	-	-	200
Davis-Blum	Barbara	Trustee	301	480	200
Dewar	Peter	Director of Information Technology	516	480	200
Frimpong	Ferdinand	Database Administrator	172	480	200
Gebremeskel	Wukyanos	Database Administrator	258	480	200
Green	Kevin	Senior Procurement Specialist	129	480	-
Hankins	Gary	Trustee	-	-	200
Hensley	Deborah	Trustee	430	480	-
Hernandez	Daniel	Director of Policy, Program Development and Evaluation	215	480	200
Hooten	Kande	Quality Compliance and Projects	172	480	200
Jackson	Catisha	Retirement Services Manager	-	-	200
Jones	Florence	Investment Assistant	215	480	200
King	Leslie	Senior Counsel	172	480	200
Koch	Corinne	Deputy Chief Benefits Officer	215	480	200
Marcus	Judith	Trustee	301	480	200
Morgan-Johnson	Sheila	Chief Investment Officer and Chief Operations Officer	387	480	200
O'Neal	Rhonda	Senior Accountant	172	480	200
Ostroski	Jason	Controller	301	-	-
Passerino	Joan	Chief Benefits Officer	215	480	-
Podesta	Sebastian	Senior Financial Analyst	172	480	-
Reaves	Deborah	Executive Assistant to the Executive Director & Office Manager	215	480	200
Ross	Anita	Quality Compliance and Projects Specialist	215	480	200
Ross	Darrick	Trustee	430	480	200
Sahm	Patrick	Senior Investment Analyst	215	480	200
Sampson	Erie	General Counsel/FOIA Officer	215	480	200
Scrapper	Susan	Business Analyst	172	480	200
Shergill	Gurvinder	Senior Investment Analyst	344	-	-
Shultz	Katie	Special Projects Assistant	172	480	-
Smith	Edward	Trustee	301	480	200
Smith	Yolanda	Contract Specialist	215	480	200
Stanchfield	Eric	Executive Director	516	480	200
Suter	George	Trustee	430	480	-
Warren	Michael	Trustee	516	480	200
Williamson	Michael	Director of Transition	301	-	-
Xanthopoulos	Michael	Investment Analyst	-	-	200
Total			\$ 12,012	\$ 17,286	\$ 6,830

(b) Please describe how your agency manages and limits its mobile, voice, and data costs, including cellular phones and mobile devices.

DCRB manages its mobile devices using a Mobile Management System that inventories, locates, and authenticates users. A security profile is placed on each device, and in combination with the use of Virtual Private Networking, provides a secure connection to our servers. The system has the ability to remotely wipe the device in the event of theft, tracks applications installed, and allows the agency to share productivity tools on the devices issued.

DCRB has limited cellular phone costs by issuing only one cellular phone. DCRB has entered into a contract with Verizon for data services at a discounted governmental rate with unlimited data for all mobile devices.

20. (a) Does your agency have or use a government vehicle? If so, for fiscal years 2012, 2013, and 2014 (to date), please list these vehicle. You may group the vehicles by category (e.g., 15 sedans, 33 pick-up trucks, three transport buses, etc.).

DCRB does not own or lease any vehicles.

(b) Please list all vehicle accidents involving your agency's vehicles for fiscal years 2012, 2013, and 2014 (to date). Provide: (1) a brief description of each accident; (2) the type of vehicle involved; (3) the justification for using such vehicle; (4) the name and title/position of the driver involved; and (5) whether there was a finding of fault and, if so, who was determined to be at fault.

As mentioned above, DCRB does not own or lease any vehicles.

21. (a) D.C. Law prohibits chauffeurs, take-home vehicles, and the use of SUVs (see D.C. Code §§ 50-203 and 50-204). Is your agency in compliance with this law? Please explain any exceptions.

Yes, DCRB is in compliance with D.C. Code §§ 50-203 and 50-204.

- (b) If there are exceptions, please provide the following: (1) type of vehicle (make, model, year); (2) individuals (name/position) authorized to have the vehicle; (3) jurisdictional residence of the individual (e.g., Bowie, MD); and (4) justification for the chauffeur or take-home status.

DCRB has no exception and is in compliance with D.C. Code §§ 50-203 and 50-204.

22. In table format, please provide the following information for fiscal years 2012, 2013, and 2014 (to date) regarding your agency's authorization of employee travel: (1) individuals (by name and title/position) authorized to travel outside the District; (2) total expense for each trip (per person, per trip, etc.); and (3) justification for the travel (per person).

Please see the attachment for question #22 regarding DCRB's authorization of employee travel.

23. Please provide and itemize, as of January 18, 2014, the current number of When Actually Employed (WAE), term and contract personnel within your agency. If your agency employs WAE or term personnel, please provide, in table format, the name of each employee, position title, the length of his or her term, the date on which he or she first started with your agency, and the date on which his or her current term expires.

As of January 18, 2014, DCRB has no WAE or term personnel.

The following is a list of contract personnel performing services for DCRB:

Name	Position/Title	Length of Term	Start Date of Current Term	Term Expiration
Andrade, Diego	Senior Server Administrator	5 years	8/14/13	8/13/18
Baker, Justin	Senior Technical Writer	18 months	7/31/13	1/30/15
Bojeun, Mark	Portfolio Manager	2 Years	12/13/12	12/12/14
Desta, Yared	Deskside Support Technician (Level II)	6 months	9/10/13	3/9/14
Jackson, Mark	Deskside Support Technician (Level II)	6 months	11/27/13	5/26/14
Lesesne, Yvonne	Administrative Support	8.75 months	1/6/14	9/30/14
Lipscombe, Christina	Lead HR Data Technician	1 year	10/1/13	9/30/14
Ormond, Changa	Benefits Data Technician	6 months	10/7/13	4/6/14
Newlin, John	Senior Financial Management Specialist	1 year	10/1/13	9/30/14
Phan, Tony	Enterprise Architect	3 months	1/8/14	4/7/14
Podesta, Sebastian	Financial Management Analyst	6 months	12/24/13	6/20/14
Randle, Leslie	HR Data Technician	1 Year	9/3/13	9/2/14
Short, Terry	HR Data Technician	18 months	5/15/13	11/14/14
Traore, Augustin	Senior Network Engineer	5 Years	8/15/13	8/14/18

24. Please provide, as an attachment, a copy of your agency's current annual performance plan as submitted to the Office of the City Administrator.

DCRB, as an independent agency, does not submit an annual performance plan to the Office of the City Administrator. In the fall of 2012, DCRB outlined its three-year strategic goals, and has revised its operational plans to accomplish the goals as needed.

25. What are your top five priorities for the agency? Please provide a detailed explanation for how the agency expects to achieve or work toward these priorities in fiscal years 2014 and 2015.

DCRB's top five priorities for the next three years are:

1. **Expand and improve member Benefits Administration capabilities while assuring benefits are paid to our members timely and accurately.**

In fiscal year 2013, the Benefits Administration and Information Technology areas launched a multi-year Retirement Modernization Program. During fiscal years 2014 and 2015, that program will include three main projects:

A. Business Process Reengineering Implementation

In fiscal year 2013, the Benefits Department completed a review of its business processes modifying its paper-based benefit functions. During fiscal year 2014 the Department will implement the newly designed workflows and standard operating procedures to transition towards more customer-focused and technology-supported organization.

B. Data Reclamation

In fiscal year 2013 DCRB, the District agencies mentioned above and other stakeholders began preparing employee service and financial data for inclusion in a comprehensive Pension Information Management System. The data reclamation includes reconciling historical member service, salary and contribution records. To date, DCRB has reviewed 1,491 employee records and estimates this project will be completed by the end of fiscal year 2014. After completion, DCRB will use the certified data to improve daily retirement processing and continue planning for the implementation of a pension management information system.

C. Pension Management Information System Acquisition

As the above projects are nearing completion, DCRB will begin the acquisition of a new pension management information system. This system will allow DCRB to provide a full range of retirement services for members, such as annual benefits statements, member self-service, and the integration of data into other pension-related systems. DCRB is currently conducting assessments of potential pension information systems and estimates that a decision on implementation timeframes will be made in fiscal year 2014.

During fiscal year 2014, DCRB plans to implement an Enterprise Data Quality tool and a Master Data Management system that allows DCRB to collect plan member information from multiple systems into a single data source. This system will gather data from both the District's PeopleSoft active member repository as well as the STAR annuitant system managed by the Department of the Treasury, and collate the records into a single database. That database will become a single source of data for maintaining DCRB's managed plans. All of these efforts are intended to eliminate paper, serve our members more efficiently, and reduce the turnaround time currently required to provide members with their initial pension payments.

2. Prudently invest Fund assets to provide long-term sustainable risk adjusted returns.

DCRB seeks to prudently manage the Fund assets so as to earn a return that meets or exceeds DCRB's actuarial investment return assumption over long periods of time.

The following actions are targeted for completion in fiscal years 2014 and 2015 and will complement the significant progress mentioned above, in building a solid foundation for achieving long-term sustainable risk-adjusted returns:

- Periodically review manager roster for areas of improvement and conduct manager searches to fulfill the asset allocation objectives;**
- Rebalance the portfolio when appropriate to maintain compliance with asset allocation ranges;**
- Educate the Trustees on new investment opportunities as well as their fiduciary responsibilities;**
- Review and adjust cost saving measures in order to continue progress in cost savings;**
- Offer professional development and training opportunities to the staff; and**
- Continue to work with our industry-leading consultants to ensure the fund is well-positioned to achieve our goals going forward.**

3. Refine the organizational structure to meet agency responsibilities.

DCRB will fill key staff vacancies, as needed, and will continue to offer training on ethics, data security, new systems and technologies. An internal audit function within DCRB will be designed during the next fiscal year.

The Benefits Department's will continue to identify performance gaps and training needs as well as modify benefit functions during fiscal years 2014 and 2015.

4. Foster member and stakeholder trust through enhanced communications.

DCRB will communicate with its members and frequently update the DCRB website to include new relevant user content. DCRB will continue its partnerships to provide retirement workshops for retiring plan members. DCRB will also maintain communication with its members regarding Plan design changes or modifications that may impact their post-retirement benefits through newsletters, additional correspondence, and website communications.

DCRB will continue to update forms for all major processes, create pamphlets to explain Plan provisions requiring actions by members, and draft template letters assuring the consistency and clarity of information that DCRB provides to its members.

DCRB will develop intranet capabilities that will improve internal communications; document storage, sharing, and distribution; enhance collaboration across DCRB; and provide greater accessibility to real-time data. This will also allow DCRB to migrate from a paper-driven organization to a more secure information-sharing organization, where documents are protected, yet accessible to authorized staff, even in the event of a disaster. This will foster enhanced communication to all staff, and ultimately, the members.

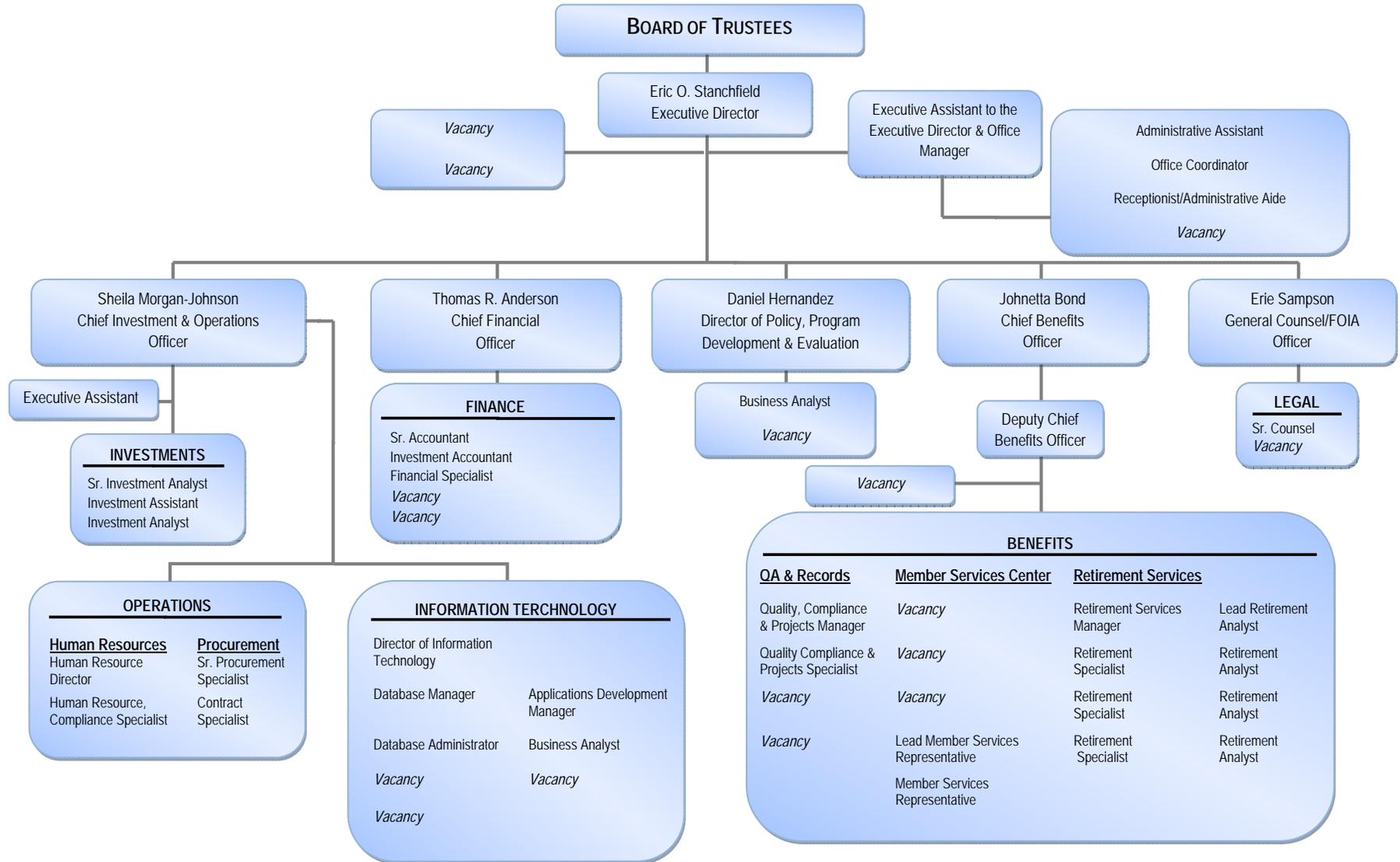
5. Safeguard the integrity of the Fund.

DCRB will continue to obtain unqualified audit opinions and report its financial activities according to governmental accounting standards. DCRB will also publish its Comprehensive Annual Financial Report based on the standards required to obtain the Government Finance Officer's Association's Certificate of Achievement of Excellence in Financial Reporting. DCRB will maintain the professional standards to continue receiving the Public Pension Standards Award for plan design and administration as set forth by the Public Pension Coordinating Council. DCRB will also undertake an actuarial audit, which will be completed by an independent actuarial firm. This is consistent with pension administration best practices.

Standards and best practices will be implemented in the information technology area for security, project management, and service delivery. DCRB will also continue to maintain the continuity of operations with its recently implemented disaster recovery infrastructure. Data security measures will concentrate on implementing a data loss prevention program to secure personally identifiable information, an Identity and Access Management system to keep unwanted persons from accessing the network, and a Security Event Information Management system to automate the collection and analysis of security related information.

Attachments

THE DISTRICT OF COLUMBIA RETIREMENT BOARD



Question 2 - SCHEDULE A

Department	Position Title	Last Name	First Name	Salary	Fringe	Total
Benefits	Chief Benefits Officer	Johnetta	Bond	\$178,000	\$35,600	\$213,600
Benefits	Deputy Chief Benefits Officer	Koch	Corinne	\$152,239	\$30,448	\$182,687
Benefits	Quality Compliance and Projects Manager	Hooten	Kande	\$116,000	\$23,200	\$139,200
Benefits	Retirement Services Manager	Jackson	Catisha	\$90,000	\$18,000	\$108,000
Benefits	Retirement Analyst	Wortham	Richard	\$71,833	\$14,367	\$86,200
Benefits	Lead Retirement Analyst	Ashford	Pamela	\$72,800	\$14,560	\$87,360
Benefits	Retirement Analyst	Thomas	Lovely S.	\$65,469	\$13,094	\$78,563
Benefits	Retirement Analyst	Somerville	Michelle	\$58,384	\$11,677	\$70,061
Benefits	Retirement Specialist	Graham	Sharon	\$57,289	\$11,458	\$68,747
Benefits	Retirement Specialist	Harris	Johniece	\$51,062	\$10,212	\$61,274
Benefits	Quality Compliance and Projects Specialist**	Ross	Anita	\$48,613	\$9,723	\$58,336
Benefits	Retirement Specialist	Holmes	Nicole	\$45,728	\$9,146	\$54,874
Benefits	Lead Member Services Representative	Morgan	Dennis	\$45,728	\$9,146	\$54,874
Benefits	Member Services Representative	Richbow	Michelle	\$33,198	\$6,640	\$39,838
Benefits	Vacant	n/a	n/a	n/a	n/a	n/a
Benefits	Vacant	n/a	n/a	n/a	n/a	n/a
Benefits	Vacant	n/a	n/a	n/a	n/a	n/a
Benefits	Vacant	n/a	n/a	n/a	n/a	n/a
Benefits	Vacant	n/a	n/a	n/a	n/a	n/a
Benefits	Vacant	n/a	n/a	n/a	n/a	n/a
Executive	Executive Director	Stanchfield	Eric	\$222,093	\$86,070 *	\$308,163
Executive	Director of Policy, Program Development and Evaluation	Hernandez	Daniel	\$152,239	\$30,448	\$182,687
Executive	Business Analyst	Aquilino-Scrappner	M. Susan	\$107,525	\$21,505	\$129,030
Executive	Executive Assistant to the Executive Director and Office Manager	Reaves	Deborah	\$92,000	\$18,400	\$110,400
Executive	Administrative Assistant	Chester	Robin	\$55,000	\$11,000	\$66,000
Executive	Office Coordinator	McSears	Denice G.	\$46,203	\$9,241	\$55,444
Executive	Receptionist/Administrative Aide	Bridgers	Nina	\$38,239	\$7,648	\$45,887
Executive	Vacant	n/a	n/a	n/a	n/a	n/a
Executive	Vacant	n/a	n/a	n/a	n/a	n/a
Executive	Vacant	n/a	n/a	n/a	n/a	n/a
Executive	Vacant	n/a	n/a	n/a	n/a	n/a
Finance	Chief Financial Officer	Anderson	Thomas R.	\$189,212	\$37,842	\$227,054
Finance	Senior Accountant	O'Neal	Rhonda P.	\$106,384	\$21,277	\$127,661
Finance	Financial Specialist	Rivers	Bonnie	\$66,531	\$13,306	\$79,837
Finance	Investment Accountant	Morgan	Ricardo	\$66,837	\$13,367	\$80,204
Finance	Vacant	n/a	n/a	n/a	n/a	n/a
Finance	Vacant	n/a	n/a	n/a	n/a	n/a
Investments	Chief Investment Officer and Operations Officer	Morgan-Johnson	Sheila	\$227,888	\$45,578	\$273,466
Investments	Senior Investment Analyst	Sahm	Patrick	\$127,308	\$25,462	\$152,770
Investments	Investment Analyst	Xanthopoulos	Michael	\$87,000	\$17,400	\$104,400
Investments	Investment Assistant	Jones	Florence E.	\$72,571	\$14,514	\$87,085
Investments	Executive Assistant	Greene	Joyce	\$58,215	\$11,643	\$69,858
Legal	General Counsel/FOIA Officer	Sampson	Erie	\$198,919	\$39,784	\$238,703
Legal	Senior Counsel	King	Leslie Ann	\$155,000	\$31,000	\$186,000
Legal	Vacant	n/a	n/a	n/a	n/a	n/a
Information Technology	Director of Information Technology	Dewar	Peter	\$157,013	\$31,403	\$188,416
Information Technology	Applications Development Manager	Burnett	Michaela	\$115,000	\$23,000	\$138,000
Information Technology	Database Manager	Frimpong	Ferdinand	\$105,000	\$21,000	\$126,000
Information Technology	Database Administrator	Gebremeskel	Wukyanos	\$89,116	\$17,823	\$106,939
Information Technology	Business Analyst	Chandler	Valerie D.	\$77,795	\$15,559	\$93,354
Information Technology	Vacant	n/a	n/a	n/a	n/a	n/a
Information Technology	Vacant	n/a	n/a	n/a	n/a	n/a
Information Technology	Vacant	n/a	n/a	n/a	n/a	n/a
Operations/Human Resources	Human Resources Director	Valentine	Vernon	\$133,921	\$26,784	\$160,705
Operations/Human Resources	Human Resources Compliance Specialist	Carson	Rabinai	\$80,000	\$16,000	\$96,000
Operations/Procurement	Senior Procurement Specialist**	Van Rees	Steven	\$66,000	\$13,200	\$79,200
Operations/Procurement	Contract Specialist	Smith	Yolanda	\$51,746	\$10,349	\$62,095

* Includes benefits per contract.

** Part-time position (0.6 FTE)

Question 22

Name/Title	Event/Purpose	Location	Fiscal Year 2012	Fiscal Year 2013	Fiscal Year 2014 as of (01/15)
<u>Blanchard, Lyle/Trustee</u>					
	Pension VIP Conference	Scottsdale, AZ	\$ 1,420		
<u>Blum, Barbara/Trustee</u>					
	Warburg Pincus 2012 Annual Meeting	New York, NY	\$ 598		
	Due diligence visit with Lime Rock Partners	West Port, CT	\$ 657		
	On-site Due diligence at CliffWater LLC	Marina del Rey, CA	\$ 1,050		
	Due diligence: Search for Absolute Return/Private Equity Consultant (Meketa Investment Group, Albourne America LLC, and CliffWater LLC)	Boston, MA; Norwalk, CT; & New York, NY	\$ 1,055		
<u>Bress, Joseph/Trustee</u>					
	New England Institutional Investor Forum	Boston, MA		\$ 296	
<u>Bunn, James/Trustee</u>					
	10th Annual <i>Made in America</i> Taft-Hartley Benefits Summit	Las Vegas, NV		\$ 1,846	
<u>Hensley, Deborah/Trustee</u>					
	IFEBP Investment Institute	White Sulphur Springs, WV	\$ 2,322		
	Certificate of Achievement in Public Plan Policy (CAPPP) Employee Pensions Part II Course	San Diego, CA		\$ 2,373	
<u>Marcus, Judith/Trustee</u>					
	Mid-Atlantic Plan Sponsors (MAPS) Trustee Educational Conference	Baltimore, MD	\$ 433		
	National Council on Teacher Retirement's (NCTR) 89th Annual Convention	Baltimore, MD	\$ 1,847		
<u>Ross, Darrick/Trustee</u>					
	Meketa 2012 Investment Conference and CAPPP Employee Pensions Part II Course	San Diego, CA		\$ 3,388	
<u>Smith, Edward/Trustee</u>					
	10th Annual <i>Made in America</i> Taft-Hartley Benefits Summit	Las Vegas, NV		\$ 1,646	
	IFEBP CAPPP Employee Pensions Training Part I	Chicago, IL	\$ 1,685		
	Wharton Advanced Investment Management and Refresher Workshop	Philadelphia, PA	\$ 5,682		
	NCPERS 2013 Public Safety Conference	Palm Springs, CA		\$ 1,668	
<u>Tippett, Thomas/Trustee</u>					
	59th Annual IFEBP Employee Benefits Conference	Las Vegas, NV		\$ 1,397	
<u>Warren, Michael/Trustee</u>					
	World Pension Forum (WPF): Investment Fundamentals	Boston, MA		\$ 934	
	Commonfund Forum 2012 Solutions for Strategic Investors	Orlando, FL	\$ 255		
	Due diligence visit to Blackstone Real Estate Partners & Riverstone Global Energy	New York, NY	\$ 118		
	NASP 23rd Annual Pension and Financial Services Conference	Baltimore, MD	\$ 100		
	2011 Hewitt EnnisKnupp's Fiduciary Services Leadership Summit	Chicago, IL	\$ 2,411		
<u>Burnett, Michaela/Applications Development Manager</u>					
	Gartner Business Process Management Summit	Baltimore, MD	\$ 2,050		
	IBM FileNet Training: P8 Platform 5.0 System	Costa Mesa, CA	\$ 5,361		
	Kofax Capture 10 Training	Boston, MA	\$ 4,849		
	Gartner Symposium/ITxpo 2012	Orlando, FL		\$ 1,100	
	Kofax Transformation Modules 5.5 Class	Irvine, CA	\$ 6,080		
	Gartner Symposium/ITxpo 2013	Orlando, FL		\$ 1,231	
	2013 SharePoint Conference.ORG	Fort Washington, MD		\$ 973	

Question 22

<u>Name/Title</u>	<u>Event/Purpose</u>	<u>Location</u>	<u>Fiscal Year 2012</u>	<u>Fiscal Year 2013</u>	<u>Fiscal Year 2014 as of (01/15)</u>
<u>Carson, Rabinai/HR/Legal Compliance Specialist</u>	SHRM Employee Relations Seminar	Alexandria, VA			\$ 1,275
<u>Dewar, Peter/Director of Information Technology</u>	Gartner CIO Leadership Forum	Scottsdale, AZ	\$ 2,206		
	2012 Gartner Security & Risk Management Conference	National Harbor, MD	\$ 2,077		
	Gartner Symposium/ITxpo 2012	Orlando, FL		\$ 1,102	
	Gartner CIO Academy	Miami, FL	\$ 3,346		
	Gartner Symposium/ITxpo 2011 (CIO & IT Leader Conference)	Orlando, FL	\$ 1,205		
	Gartner Symposium/ITxpo 2013	Orlando, FL			\$ 2,026
	2013 Gartner Security & Risk Management Conference	National Harbor, MD		\$ 2,091	
<u>Frimpong, Ferdinand/Database Administrator</u>	Federal Oracle 11g Upgrade Workshop	Reston, VA	\$ 38		
	Oracle Database 11g Upgrade Seminar	Albany, NY	\$ 465		
	2012 Gartner Security & Risk Management Conference	National Harbor, MD	\$ 2,060		
	Oracle OpenWorld 2012 Conference	San Francisco, CA	\$ 2,395	\$ 1,581	
	2013 Microsoft Dynamics Convergence	New Orleans, LA		\$ 3,301	
	2013 Gartner Security & Risk Management Conference	National Harbor, MD		\$ 2,163	
	NetBackup Training	Herndon, VA		\$ 270	
<u>Gebremeskel, Wukyanos/Database Administrator</u>	2012 Gartner Security & Risk Management Conference	National Harbor, MD	\$ 2,008		
	2013 Gartner Security & Risk Management Conference	National Harbor, MD		\$ 1,975	
	NetBackup Training	Herndon, VA		\$ 209	
<u>Hernandez, Daniel/Director of Policy, Program Development & Evaluation</u>	Gartner Business Process Management Summit	Baltimore, MD	\$ 2,025		
<u>Jones, Florence/Investment Assistant</u>	National Association of Securities Professionals (NASP) 23rd Annual Pension and Financial Services Conference	Baltimore, MD	\$ 125		
<u>King, Leslie/Senior Counsel</u>	NAPPA 2012 Legal Education Conference	Philadelphia, PA	\$ 1,861		
	NAPPA 2013 Legal Education Conference	Santa Fe, NM		\$ 2,724	

Question 22

Name/Title	Event/Purpose	Location	Fiscal Year 2012	Fiscal Year 2013	Fiscal Year 2014 as of (01/15)
Koch, Corinne/Deputy Chief Benefits Officer					
	Gartner Business Process Management Summit	Baltimore, MD	\$ 67		
	57th Annual IFEBP Conference	New Orleans, LA	\$ 1,723		
Morgan-Johnson, Sheila/Chief Investment and Operations Officer					
	Due diligence: Search for Absolute Return/Private Equity Consultant (Meketa Investment Group, Albourne America LLC, and CliffWater LLC)	Boston, MA; Norwalk, CT; & New York, NY	\$ 1,157		
	2012 ILPA Members-Only Spring Conference	Atlanta, GA	\$ 1,427		
	Due diligence for High Yield Managers Search (Meketa Investment Group, DDJ Capital Management, and Sky Harbor Capital Management)/Foreign Bond Managers Search (Brandywine and Mondrian)	Boston, MA; Stamford, CT; and Philadelphia, PA	\$ 1,292		
	Warburg Pincus 2012 Annual Meeting	New York, NY	\$ 597		
	Due diligence visit to Blackstone Real Estate Partners & Riverstone Global Energy	New York, NY	\$ 317		
	2012 Heitman Investor Meetings	New York, NY	\$ 713		
	National Conference on Public Employee Retirement Systems (NCPERS) Program for Advanced Trustee (PATS) Studies	Cambridge, MA	\$ 1,571		
	Due diligence visit to Lime Rock Partners	West Port, CT	\$ 627		
	2011 TOIGO Groundbreakers: Women in Leadership Summit	New York, NY	\$ 926		
	On-site due diligence visit with CliffWater LLC	Marina del Rey, CA	\$ 1,194		
	2011 ILPA Members-Only Fall Conference	Vancouver, Canada	\$ 2,407		
	Due diligence visits to Artisan Partners LP, Blackrock Fund Advisors, and Shott Capital Management	San Francisco, CA		\$ 1,376	
	BlackRock's 2012 Institutional Investor Conference	New York, NY		\$ 253	
	Due diligence visit to Copper Rock Capital Partners and Wayzata Investment Partners	Boston, MA		\$ 262	
	Due diligence visit to Enervest, Ltd., EnCap Investments, LP, and Dimensional Fund Advisors (DFA)	Houston, TX		\$ 1,390	
	Pantheon Annual Investor Meeting 2012	Miami, FL		\$ 908	
	Due diligence search for Absolute Return/Private Equity Selection Process	London, England		\$ 2,744	
	Due Diligence visit to Beach Point, Gloden Tree, Odyssey and Wheelock, and Sankaty	New York, NY and Boston, MA		\$ 574	
	Due diligence visit to Gryphon International Investment Corp., and Onex (Private Equity Manager)	Toronoto, ON		\$ 1,012	
	2013 CII Fall Conference: Lessons Learned and Due Diligence meetings with Linden Capital Partners, Harrison Street RE Captial, and GTCR	Chicago, IL		\$ 1,319	
	Attend Encap Investments 2013 Annual Investor Conference and to perform due diligence on Encap Flatrock and Quatum Energy Partners	Dallas/Houston, TX		\$ 920	
	Due diligence meeting with GEM Realty Fund V, and follow-up meeting with Heitman Value Partners	Chicago, IL		\$ 812	
	Due Diligence visit to Brevan Howard Credit; The Jordan Co.; Och Ziff; Insight Venture Partners; Riverside; Sentinel and Pennant	New York, NY and Summit, NJ		\$ 1,248	
	Due Diligence visit to Mondrian; Rogge International; Pantheon; Carlyle; HIG; and Hedge Fund Managers; and Brandywine Global Investment Management	London, England and Philadelphia, PA		\$ 2,095	
	2013 Heitman Investor Meetings	Chicago, IL		\$ 322	
	Cliffwater 2013 Hedge Fund Conference	New York, NY		\$ 256	
	Due Diligence meeting with HIG Europe Capital Partners II	Miami, FL		\$ 758	

Question 22

Name/Title	Event/Purpose	Location	Fiscal Year 2012	Fiscal Year 2013	Fiscal Year 2014 as of (01/15)
<u>Podesta, Sebastian/Senior Financial Analyst</u>					
	2013 Microsoft Dynamics Convergence	New Orleans, LA		\$	3,291
<u>Sahm, Patrick/Sr. Investment Analyst</u>					
	Due diligence: Search for Absolute Return/Private Equity Consultant (Meketa Investment Group, Albourne America LLC, and CliffWater LLC)	Boston, MA; Norwalk, CT; & New York, NY	\$	1,046	
	Due diligence for High Yield Managers Search (Meketa Investment Group, DDJ Capital Management, and Sky Harbor Capital Management)/Foreign Bond Managers Search (Brandywine and Mondrian)	Boston, MA; Stamford, CT; and Philadelphia, PA	\$	1,329	
	On-site due diligence visit to CliffWater LLC	Marina del Rey, CA	\$	1,176	
	2012 ILPA Members-Only Spring Conference	Atlanta, GA	\$	2,225	
	Macquarie Infrastructure Partners (MIP) 2012 Annual Meeting	New York, NY	\$	476	
	CFA Institute Annual Conference	Chicago, IL	\$	1,580	
	Blackstone Capital Partners/Limited Partners Conference	New York, NY	\$	763	
	Due diligence visit to Blackstone Real Estate Partners & Riverstone Global Energy	New York, NY	\$	175	
	Due diligence visit to Lime Rock Partners	West Port, CT	\$	674	
	Pension & Investments Liability Driven Investing Conference	New York, NY	\$	238	
	2011 SteelRiver Infrastructure Fund Annual Meeting	New York, NY	\$	230	
	21st PREA Annual Plan Sponsor Real Estate Conference	Chicago, IL	\$	407	
	Due diligence visits to Artisan Partners LP, Blackrock Fund Advisors, and Shott Capital Management	San Francisco, CA	\$	1,308	
	2012 SteelRiver Infrastructure Fund North America Annual Meeting	New York, NY	\$	265	
	Due diligence visit to Copper Rock Capital Partners and Wayzata Investment Partners	Boston, MA	\$	180	
	Due diligence visit to Envest, Ltd., EnCap Investments, LP, and Dimensional Fund Advisors (DFA)	Houston, TX and Austin, TX	\$	1,296	
	2012 Blackstone Real Estate Limited Partners Conference	New York, NY	\$	621	
	Due diligence search for Absolute Return/Private Equity Selection Process	London, England	\$	2,694	
	Due Diligence visit to Brevan Howard Credit; The Jordan Co.; Och Ziff; Insight Venture Partners; Riverside; Sentinel and Pennant	New York, NY and Summit, NJ	\$	1,195	
	Due Diligence visit to Gryphon International Investment Corp. and Meeting w/Private Equity Manager, Onex	Toronto, ON	\$	1,098	
	Due Diligence visit to Beach Point, Gloden Tree, Odyssey and Wheelock, and Sankaty	New York, NY and Boston, MA	\$	926	
	Due Diligence visit to Linden Capital Partners, Harrison Street Real Estate Capital and GTCR	Chicago, IL	\$	1,030	
	Resource Capital Funds 13th Annual Limited Partners' Meeting Module 3 Conference	Denver, CO	\$	737	
	Attend Encap Investments 2013 Annual Investor Conference and to perform due diligence on Encap Flatrock and Quatum Energy Partners	Dallas/Houston, TX	\$	1,128	
	Attend the 2013 Annual Lime Rock Limited Partners Meeting and to perform due diligence on Warburg Pincus and SteelRiver	New York, NY	\$	724	
	Attend riverstone Global Energy and Power Funds Annual Limited Partner Meeting	New York, NY	\$	126	
	ILPA Module 3: Executing LP Roles and Responsibilities	Chicago, IL	\$	799	
	Due Diligence visit JMI Private Equity	Baltimore, MD	\$	100	
	Due diligence meeting with GEM Realty Fund V and follow-up meeting with Heitman Value Partners	Chicago, IL	\$	617	
	Due Diligence meeting with HIG Europe Capital Partners II	Miami, FL	\$	673	
	Macquarie Infrastructure Partners (MIP) 2013 Annual Meeting and due diligence meetings w/Northern Trust and LSV Asset Management	Chicago, IL	\$	642	
	Due Diligence visit to Mondrian; Rogge International; Pantheon; Carlyle; HIG; and Hedge Fund Managers; and Brandywine Global Investment Management	London, England and Philadelphia, PA	\$	2,068	

Question 22

Name/Title	Event/Purpose	Location	Fiscal Year 2012	Fiscal Year 2013	Fiscal Year 2014 as of (01/15)
<u>Sampson, Erie/General Counsel/FOIA Officer</u>					
	NAPPA 2012 Legal Education Conference	Philadelphia, PA	\$ 1,905		
	National Conference on Public Employee Retirement Systems (NCPERS) Program for Advanced Trustee (PATS) Studies	Cambridge, MA	\$ 1,585		
	ILPA Institute Private Equity Executive Education Level II Module I 2013	San Francisco, CA		\$ 2,840	
	NAPPA 2013 Legal Education Conference	Santa Fe, NM		\$ 2,169	
	ILPA Institute Private Equity Executive Education Level I 2014	Chicago, IL			\$ 2,598
<u>Scrapper, Sue/Business Analyst</u>					
	Gartner Business Process Management Summit	Baltimore, MD	\$ 2,632		
<u>Shergill, Gurvinder/Senior Investment Analyst</u>					
	Due diligence: Search for Absolute Return/Private Equity Consultant (Meketa Investment Group, Albourne America LLC, and CliffWater LLC)	Boston, MA; Norwalk, CT; & New York, NY	\$ 532		
<u>Smith, Yolanda/Contract Specialist</u>					
	Gartner Business Process Management Summit	Baltimore, MD	\$ 224		
	National Institute for Governmental Purchasing (NIGP) Professional Training Course: <i>Sourcing in the Public Sector</i>	Linthicum, MD		\$ 700	
	National Institute for Governmental Purchasing (NIGP) Professional Training Course: <i>Contract Administration</i>	Linthicum, MD	\$ 867		
	National Institute for Governmental Purchasing (NIGP) Professional Training Course: <i>Strategic Procurement Planning</i>	Linthicum, MD	\$ 790		
	National Institute for Governmental Purchasing (NIGP) Professional Training Course: <i>Legal Aspects of Public Procurement</i>	Linthicum, MD	\$ 795		
	National Institute for Governmental Purchasing (NIGP) Professional Training Course: <i>Alternative Dispute Resolution</i>	Linthicum, MD	\$ 610		
<u>Stanchfield, Eric/Executive Director</u>					
	IFEBP Business Planning Meeting	Milwaukee, WI	\$ 288		
	Harvard Kennedy School Senior Executive in State & Local Government Programs	Cambridge, MA		\$ 12,715	
	National Association of State Retirement Administrators (NASRA) 2013 Annual Conference	Portland, OR		\$ 2,808	
<u>Williamson, Michael/Deputy Director, Organizational Transformation & System Integration</u>					
	IFEBP Business Planning Meeting	Milwaukee, WI	\$ 288		
<u>Xanthopoulos, Michael/Investment Analyst</u>					
	Due Diligence visit to JMI	Baltimore, MD		\$ 28	
	Due Diligence visit to Beach Point, Gloden Tree, Odyssey and Wheelock, and Sankaty	New York, NY and Boston, MA		\$ 1,036	
	GEM Realty Properties Annual Meeting	Chicago, IL		\$ 354	
	Due diligence visit on T. Rowe Price	Baltimore, MD		\$ 44	
	SteelRiver Infrastructure Fund North America Annual Meeting	New York, NY		\$ 126	
	CVC Capital Partners	New York, NY		\$ 126	
	PREA 23rd Annual Investor Real Estate Conference	Chicago, IL		\$ 1,044	